



Sheet 1 of 1

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DEC 18 2001

Sheet 1 of 3

Form PTO-1449 INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)								Docket Number 70478	Application Number 09/780,561						
								Applicant Stephan W. WEGERICHE et al.							
								Filing Date February 12, 2001	Group Art Unit 2123						
U. S. PATENT DOCUMENTS															
EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROP.			
T.P.	3	0	4	5	2	2	1	07/17/62	Roop						
T.P.	R	e	3	1	7	5	0	11/27/84	Morrow						
T.P.	5	5	8	6	0	6	6	12/17/96	White et al.						
T.P.	5	7	7	4	3	7	9	06/30/98	Gross et al.						
T.P.	5	9	8	7	3	9	9	11/16/99	Wegerich et al.						
FOREIGN PATENT DOCUMENTS															
	DOCUMENT NUMBER			DATE	COUNTRY	CLASS	SUB CLASS	Translation							
								Yes	No						
T.P.	WO 00/67412			11/09/00	PCT	—	—								
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)															
T.P.	"Application Of A New Technique For Modeling System Behavior" by Paul J. O'Sullivan, presented at the ISA Symposium, Edmonton, Alberta, May 1, 1991, © Copyright 1991 Instrument Society of America (21 pp.)														
T.P.	"A Universal, Fault-Tolerant, Non-Linear Analytic Network For Modeling And Fault Detection," by J. E. Mott, R. W. King, L. R. Monson, D. L. Olson, and J. D. Staffon, Proceedings of the 8th Power Plant Dynamics, Control & Testing Symposium, Knoxville, Tennessee, May 27-29, 1992 (14 pp.)														
T.P.	ModelWare™ Product Review by Robert D. Flori, reprinted from Computerized Investing, September/October 1992, Volume XI, No. 5, copyright by The American Association of Individual Investors (pp. 8-10)														
EXAMINER	Thai Phan								DATE CONSIDERED 3/1/04						
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.															



Sheet 2 of 3

Form PTO-1449 <i>PATENT & TRADEMARK</i> INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		Docket Number 70478		Application Number 09/780,561		
		Applicant Stephan W. WEGERICHE et al.				
		Filing Date February 12, 2001		Group Art Unit 2123		
		U. S. PATENT DOCUMENTS				
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	
FILING DATE IF APPROP.						
<i>RECEIVED DEC 21 2001 Group 2100</i>						
FOREIGN PATENT DOCUMENTS						
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	
Translation <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)						
T.P.	ModelWare™ A New Approach to Prediction by Ken Tucker, reprinted from PC AI magazine, January/February 1993, pp. 14, 15, 30					
T.P.	"Similarity Based Regression: Applied Advanced Pattern Recognition for Power Plant Analysis," by E. J. Hansen and M. B. Caudill, presented at the 1994 EPRI Heat Rate Improvement Conference (9 pp.)					
T.P.	"Applied Pattern Recognition For Plant Monitoring And Data Validation," by Ron Griebenow, E. J. Hansen, and A. L. Sudduth, presented at the Fifth International Joint ISA POWID/EPRI Controls and Instrumentation Conference, La Jolla, California, June 19-21, 1995 (11 pp.)					
EXAMINER	Thai Phan			DATE CONSIDERED 3/1/01		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.						

